

Context-Free Languages & Grammars (CFLs & CFGs)

Reading: Chapter 5

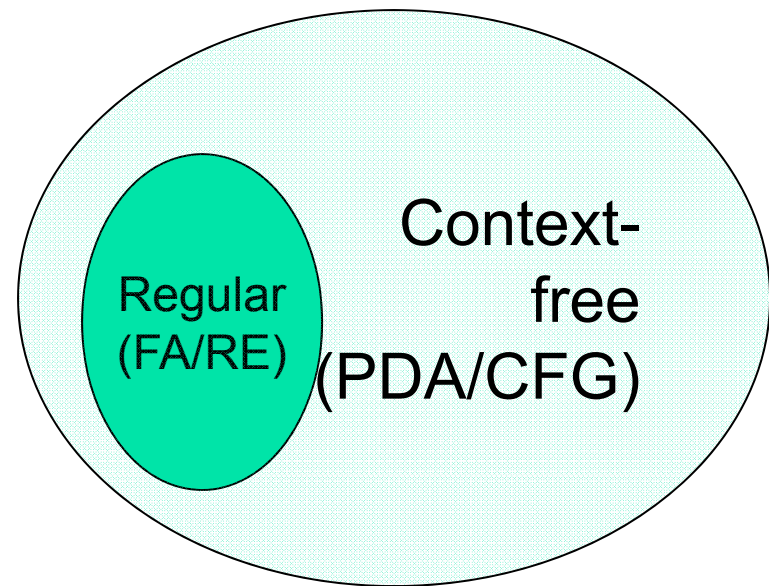


Not all languages are regular

- So what happens to the languages which are not regular?
- Can we still come up with a language recognizer?
 - i.e., something that will accept (or reject) strings that belong (or do not belong) to the language?

Context-Free Languages

- A language class larger than the class of regular languages
- Supports natural, recursive notation called “context-free grammar”
- Applications:
 - Parse trees, compilers
 - XML





An Example

- A palindrome is a word that reads identical from both ends

- E.g., $\begin{array}{ccccccc} \longrightarrow & \longleftarrow & \longrightarrow & \longleftarrow & \longrightarrow & \longleftarrow & \longrightarrow & \longleftarrow \\ \text{ma} & \text{da} & \text{ma} & \text{da} & \text{ma} & \text{da} & \text{ma} & \text{da} \end{array}$, $\begin{array}{ccccccc} \longrightarrow & \longleftarrow & \longrightarrow & \longleftarrow & \longrightarrow & \longleftarrow & \longrightarrow & \longleftarrow \\ \text{re} & \text{di} & \text{vi} & \text{de} & \text{re} & \text{di} & \text{re} & \text{di} \end{array}$, $\begin{array}{ccccccc} \longrightarrow & \longleftarrow & \longrightarrow & \longleftarrow & \longrightarrow & \longleftarrow & \longrightarrow & \longleftarrow \\ \text{ma} & \text{la} & \text{ya} & \text{la} & \text{ma} & \text{la} & \text{ma} & \text{la} \end{array}$, $\begin{array}{ccccccc} \longrightarrow & \longleftarrow & \longrightarrow & \longleftarrow & \longrightarrow & \longleftarrow & \longrightarrow & \longleftarrow \\ 0 & 1 & 0 & 0 & 1 & 0 & 0 & 1 & 0 & 1 & 0 & 1 & 0 \end{array}$

- Let $L = \{ w \mid w \text{ is a binary palindrome} \}$

- Is L regular?

- No.

- Proof:

- Let $w = 0^N 1 0^N$ (assuming N to be the p/l constant)
- By Pumping lemma, w can be rewritten as xyz, such that xy^kz is also L (for any $k \geq 0$)
- But $|xy| \leq N$ and $y \neq \epsilon$
- $\implies y = 0^+$
- $\implies xy^kz$ will NOT be in L for $k=0$
- \implies Contradiction

But the language of palindromes...

is a CFL, because it supports recursive substitution (in the form of a CFG)

- This is because we can construct a “grammar” like this:

- Productions
1. $A \Rightarrow \varepsilon$
 2. $A \Rightarrow 0$
 3. $A \Rightarrow 1$
 4. $A \Rightarrow 0A0$
 5. $A \Rightarrow 1A1$

Terminal

Variable or non-terminal

Same as:

$A \Rightarrow 0A0 \mid 1A1 \mid 0 \mid 1 \mid \varepsilon$

How does this grammar work?

How does the CFG for palindromes work?

An input string belongs to the language (i.e., accepted) iff it can be generated by the CFG

- Example: $w=01110$
- G can generate w as follows:

1. $A \Rightarrow 0A0$
2. $\Rightarrow 01A10$
3. $\Rightarrow 01110$

G:

$A \Rightarrow 0A0 \mid 1A1 \mid 0 \mid 1 \mid \varepsilon$

Generating a string from a grammar:

1. Pick and choose a sequence of productions that would allow us to generate the string.
2. At every step, substitute one variable with one of its productions.



Context-Free Grammar: Definition

- A context-free grammar $G=(V,T,P,S)$, where:
 - V : set of variables or non-terminals
 - T : set of terminals (= alphabet $\cup \{\varepsilon\}$)
 - P : set of *productions*, each of which is of the form
 $V \Rightarrow \alpha_1 \mid \alpha_2 \mid \dots$
 - Where each α_i is an arbitrary string of variables and terminals
 - $S \Rightarrow$ start variable

CFG for the language of binary palindromes:

$G=({A},\{0,1\},P,A)$

$P: A \Rightarrow 0A0 \mid 1A1 \mid 0 \mid 1 \mid \varepsilon$



More examples

- Parenthesis matching in code
- Syntax checking
- In scenarios where there is a general need for:
 - Matching a symbol with another symbol, or
 - Matching a count of one symbol with that of another symbol, or
 - Recursively substituting one symbol with a string of other symbols



Example #2

- Language of balanced paranthesis
e.g., $()((((()))(()))\dots$
- CFG?

G:
 $S \Rightarrow (S) \mid SS \mid \varepsilon$

How would you “interpret” the string “ $((((()))())$ ” using this grammar?



Example #3

- A grammar for $L = \{0^m 1^n \mid m \geq n\}$

- CFG?

G:
 $S \Rightarrow 0S1 \mid A$
 $A \Rightarrow 0A \mid \varepsilon$

How would you interpret the string “00000111”
using this grammar?



Example #4

A program containing **if-then(-else)** statements

if *Condition* **then** *Statement* **else** *Statement*

(Or)

if *Condition* **then** *Statement*

CFG?



More examples

- $L_1 = \{0^n \mid n \geq 0\}$
- $L_2 = \{0^n \mid n \geq 1\}$
- $L_3 = \{0^i 1^j 2^k \mid i=j \text{ or } j=k, \text{ where } i, j, k \geq 0\}$
- $L_4 = \{0^i 1^j 2^k \mid i=j \text{ or } i=k, \text{ where } i, j, k \geq 1\}$



Applications of CFLs & CFGs

- Compilers use parsers for syntactic checking
- Parsers can be expressed as CFGs
 1. Balancing paranthesis:
 - $B \implies BB \mid (B) \mid \textit{Statement}$
 - $\textit{Statement} \implies \dots$
 2. If-then-else:
 - $S \implies SS \mid \textit{if Condition then Statement else Statement} \mid \textit{if Condition then Statement} \mid \textit{Statement}$
 - $\textit{Condition} \implies \dots$
 - $\textit{Statement} \implies \dots$
 3. C paranthesis matching { ... }
 4. Pascal *begin-end* matching
 5. YACC (Yet Another Compiler-Compiler)



More applications

- Markup languages

- Nested Tag Matching

- HTML

- `<html> ...<p> </p> ... </html>`

- XML

- `<PC> ... <MODEL> ... </MODEL> .. <RAM> ... </RAM> ... </PC>`



Tag-Markup Languages

Roll \Rightarrow **<ROLL>** Class Students **</ROLL>**

Class \Rightarrow **<CLASS>** Text **</CLASS>**

Text \Rightarrow Char Text | Char

Char \Rightarrow **a | b | ... | z | A | B | .. | Z**

Students \Rightarrow Student Students | ϵ

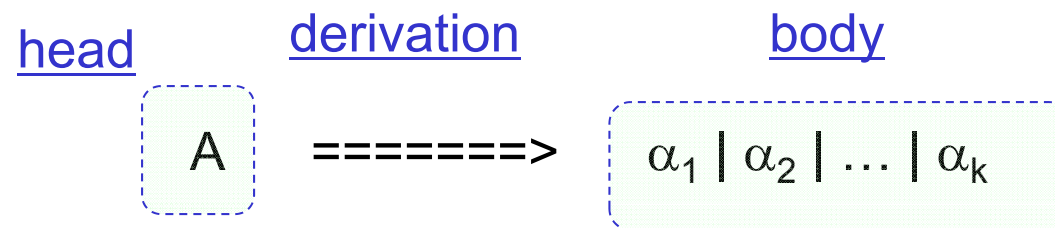
Student \Rightarrow **<STUD>** Text **</STUD>**

Here, the left hand side of each production denotes one non-terminals (e.g., “Roll”, “Class”, etc.)

Those symbols on the right hand side for which no productions (i.e., substitutions) are defined are terminals (e.g., ‘a’, ‘b’, ‘|’, ‘<’, ‘>’, “ROLL”, etc.)



Structure of a production



The above is same as:

1. $A \Longrightarrow \alpha_1$
2. $A \Longrightarrow \alpha_2$
3. $A \Longrightarrow \alpha_3$
- ...
- K. $A \Longrightarrow \alpha_k$

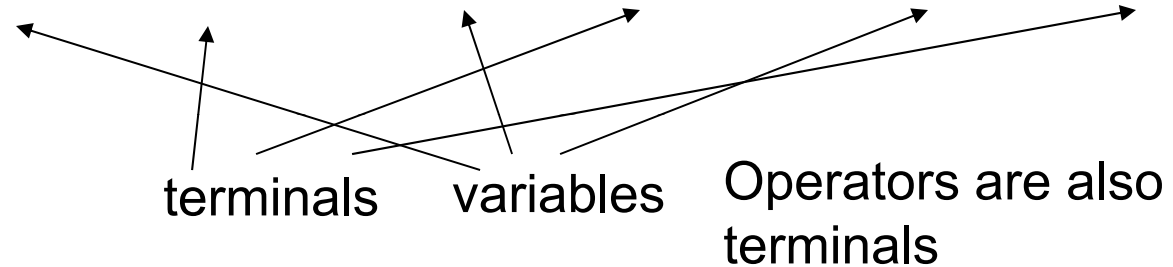


CFG conventions

- Terminal symbols $\leq a, b, c, \dots$
- Non-terminal symbols $\leq A, B, C, \dots$
- Terminal or non-terminal symbols $\leq X, Y, Z$
- Terminal strings $\leq w, x, y, z$
- Arbitrary strings of terminals and non-terminals $\leq \alpha, \beta, \gamma, \dots$

Syntactic Expressions in Programming Languages

*result = a * b + score + 10 * distance + c*



Regular languages have only terminals

- Reg expression = $[a-z][a-z0-1]^*$
- If we allow only letters a & b, and 0 & 1 for constants (for simplification)
 - Regular expression = $(a+b)(a+b0+1)^*$

String membership

How to say if a string belong to the language defined by a CFG?

1. Derivation
 - Head to body
2. Recursive inference
 - Body to head

Both are equivalent forms

Example:

- $w = 01110$
- Is w a palindrome?

G:
 $A \Rightarrow 0A0 \mid 1A1 \mid 0 \mid 1 \mid \varepsilon$

$A \Rightarrow 0A0$
 $\Rightarrow 01A10$
 $\Rightarrow 01110$



Simple Expressions...

- We can write a CFG for accepting simple expressions
- $G = (V, T, P, S)$
 - $V = \{E, F\}$
 - $T = \{0, 1, a, b, +, *, (,)\}$
 - $S = \{E\}$
 - P:
 - $E \Rightarrow E + E \mid E * E \mid (E) \mid F$
 - $F \Rightarrow aF \mid bF \mid 0F \mid 1F \mid a \mid b \mid 0 \mid 1$



Generalization of derivation

- Derivation is *head* \implies *body*
- $A \implies X$ (A derives X in a single step)
- $A \implies^*_G X$ (A derives X in a multiple steps)
- Transitivity:
IF $A \implies^*_G B$, and $B \implies^*_G C$, THEN $A \implies^*_G C$



Context-Free Language

- The language of a CFG, $G=(V,T,P,S)$, denoted by $L(G)$, is the set of terminal strings that have a derivation from the start variable S .
 - $L(G) = \{ w \text{ in } T^* \mid S \Rightarrow^*_G w \}$



Left-most & Right-most Derivation Styles

G:

$E \Rightarrow E+E \mid E^*E \mid (E) \mid F$
 $F \Rightarrow aF \mid bF \mid 0F \mid 1F \mid \varepsilon$

Derive the string $a^*(ab+10)$ from G:

$E \stackrel{*}{\Rightarrow}_G a^*(ab+10)$

Left-most derivation:

Always substitute leftmost variable

■ E
 ■ $\Rightarrow E^* E$
 ■ $\Rightarrow F^* E$
 ■ $\Rightarrow aF^* E$
 ■ $\Rightarrow a^* E$
 ■ $\Rightarrow a^* (E)$
 ■ $\Rightarrow a^* (E + E)$
 ■ $\Rightarrow a^* (F + E)$
 ■ $\Rightarrow a^* (aF + E)$
 ■ $\Rightarrow a^* (abF + E)$
 ■ $\Rightarrow a^* (ab + E)$
 ■ $\Rightarrow a^* (ab + F)$
 ■ $\Rightarrow a^* (ab + 1F)$
 ■ $\Rightarrow a^* (ab + 10F)$
 ■ $\Rightarrow a^* (ab + 10)$

Right-most derivation:

Always substitute rightmost variable

■ E
 ■ $\Rightarrow E^* E$
 ■ $\Rightarrow E^* (E)$
 ■ $\Rightarrow E^* (E + E)$
 ■ $\Rightarrow E^* (E + F)$
 ■ $\Rightarrow E^* (E + 1F)$
 ■ $\Rightarrow E^* (E + 10F)$
 ■ $\Rightarrow E^* (E + 10)$
 ■ $\Rightarrow E^* (F + 10)$
 ■ $\Rightarrow E^* (aF + 10)$
 ■ $\Rightarrow E^* (abF + 0)$
 ■ $\Rightarrow E^* (ab + 10)$
 ■ $\Rightarrow F^* (ab + 10)$
 ■ $\Rightarrow aF^* (ab + 10)$
 ■ $\Rightarrow a^* (ab + 10)$



Leftmost vs. Rightmost derivations

Q1) For every leftmost derivation, there is a rightmost derivation, and vice versa. True or False?

True - will use parse trees to prove this

Q2) Does every word generated by a CFG have a leftmost and a rightmost derivation?

Yes – easy to prove (reverse direction)

Q3) Could there be words which have more than one leftmost (or rightmost) derivation?

Yes – depending on the grammar



How to prove that your CFGs are correct?

(using induction)

CFG & CFL

$$\begin{array}{l} \underline{G_{pal}}: \\ A \Rightarrow 0A0 \mid 1A1 \mid 0 \mid 1 \mid \varepsilon \end{array}$$

- Theorem: A string w in $(0+1)^*$ is in $L(G_{pal})$, if and only if, w is a palindrome.
- Proof:
 - Use induction
 - on string length for the IF part
 - On length of derivation for the ONLY IF part

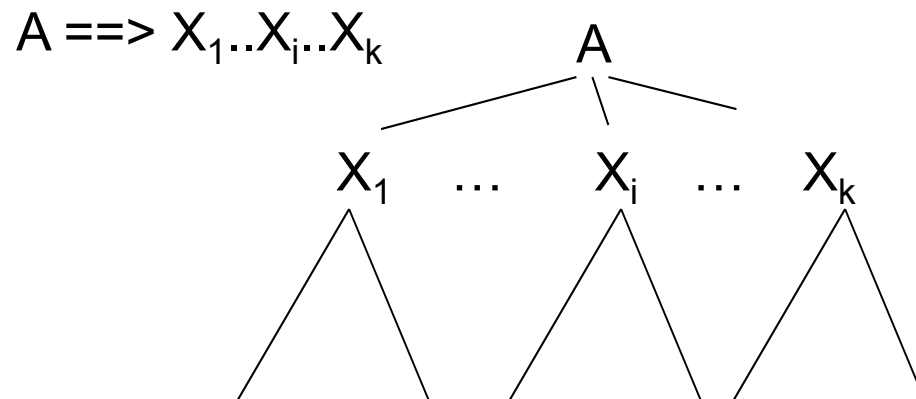


Parse trees

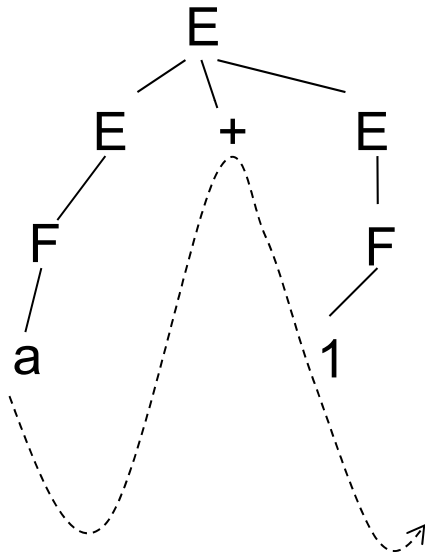
Parse Trees

- Each CFG can be represented using a *parse tree*:
 - Each internal node is labeled by a variable in V
 - Each leaf is terminal symbol
 - For a production, $A \Rightarrow X_1 X_2 \dots X_k$, then any internal node labeled A has k children which are labeled from X_1, X_2, \dots, X_k from left to right

Parse tree for production and all other subsequent productions:



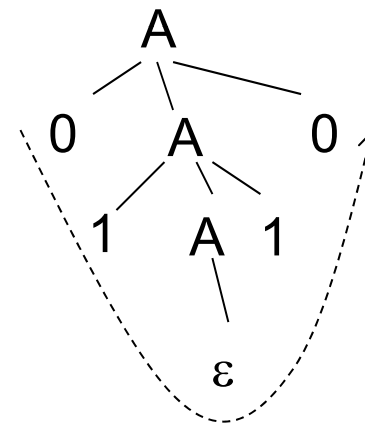
Examples



Parse tree for $a + 1$

G:
 $E \Rightarrow E + E \mid E * E \mid (E) \mid F$
 $F \Rightarrow aF \mid bF \mid 0F \mid 1F \mid 0 \mid 1 \mid a \mid b$

Recursive inference

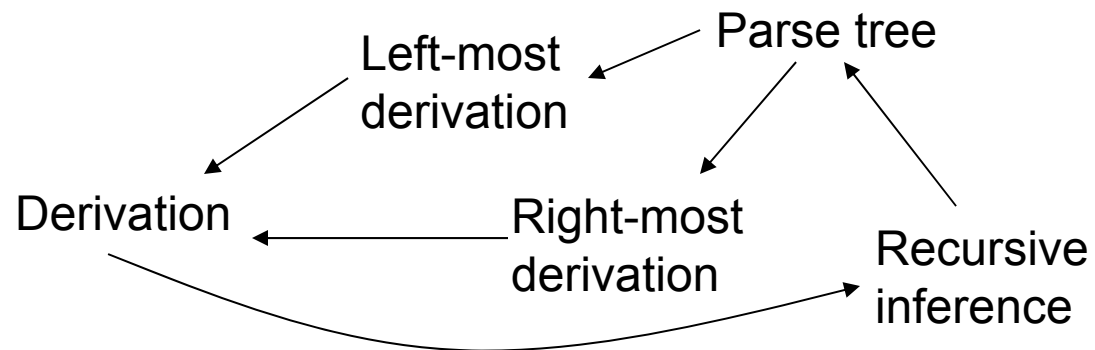
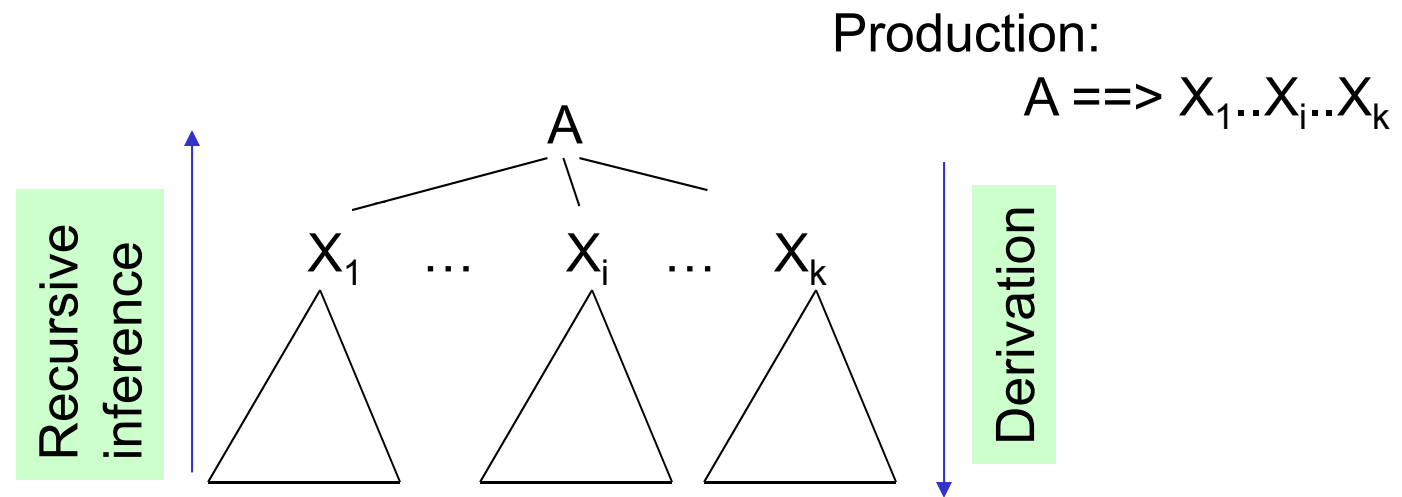


Parse tree for 0110

Derivation

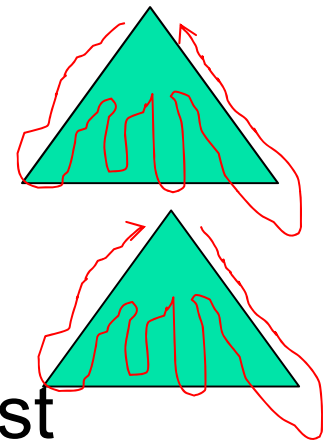
G:
 $A \Rightarrow 0A0 \mid 1A1 \mid 0 \mid 1 \mid \epsilon$

Parse Trees, Derivations, and Recursive Inferences



Interchangeability of different CFG representations

- Parse tree \implies left-most derivation
 - DFS left to right
- Parse tree \implies right-most derivation
 - DFS right to left
- \implies left-most derivation \iff right-most derivation
- Derivation \implies Recursive inference
 - Reverse the order of productions
- Recursive inference \implies Parse trees
 - bottom-up traversal of parse tree



Connection between CFLs and RLs

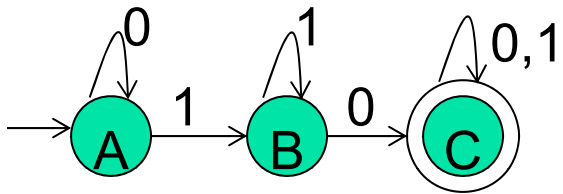


What kind of grammars result for regular languages?

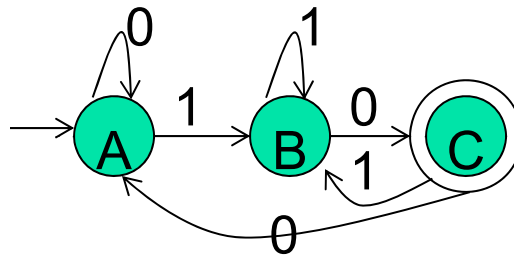
CFLs & Regular Languages

- A CFG is said to be *right-linear* if all the productions are one of the following two forms: $A \Rightarrow wB$ (or) $A \Rightarrow w$
 - Where:
 - A & B are variables,
 - w is a string of terminals
- Theorem 1: Every right-linear CFG generates a regular language
- Theorem 2: Every regular language has a right-linear grammar
- Theorem 3: Left-linear CFGs also represent RLs

Some Examples



Right linear CFG?



Right linear CFG?

➤ $A \Rightarrow 01B \mid C$
 $B \Rightarrow 11B \mid 0C \mid 1A$
 $C \Rightarrow 1A \mid 0 \mid 1$

Finite Automaton?



Ambiguity in CFGs and CFLs

Ambiguity in CFGs

- A CFG is said to be *ambiguous* if there exists a string which has more than one left-most derivation

Example:

$S \Rightarrow AS \mid \varepsilon$

$A \Rightarrow A1 \mid 0A1 \mid 01$

Input string: 00111

Can be derived in two ways

LM derivation #1:

$S \Rightarrow AS$

$\Rightarrow 0A1S$

$\Rightarrow 0A11S$

$\Rightarrow 00111S$

$\Rightarrow 00111$

LM derivation #2:

$S \Rightarrow AS$

$\Rightarrow A1S$

$\Rightarrow 0A11S$

$\Rightarrow 00111S$

$\Rightarrow 00111$

Why does ambiguity matter?

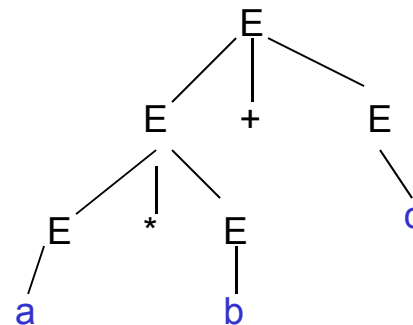
$E \Rightarrow E + E \mid E * E \mid (E) \mid a \mid b \mid c \mid 0 \mid 1$

Values are different !!!

string = $a * b + c$

• LM derivation #1:

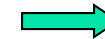
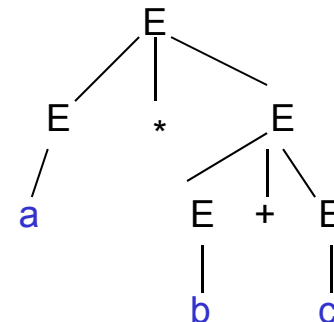
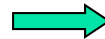
• $E \Rightarrow E + E \Rightarrow E * E + E$
 $\Rightarrow * a * b + c$



$(a*b)+c$

• LM derivation #2

• $E \Rightarrow E * E \Rightarrow a * E \Rightarrow$
 $a * E + E \Rightarrow * a * b + c$



$a*(b+c)$

The calculated value depends on which of the two parse trees is actually used.

Removing Ambiguity in Expression Evaluations

- It MAY be possible to remove ambiguity for some CFLs
 - E.g., in a CFG for expression evaluation by imposing rules & restrictions such as precedence
 - This would imply rewrite of the grammar

- Precedence: $()$, $*$, $+$

Modified unambiguous version:

$$\begin{aligned} E &\Rightarrow E + T \mid T \\ T &\Rightarrow T * F \mid F \\ F &\Rightarrow I \mid (E) \\ I &\Rightarrow a \mid b \mid c \mid 0 \mid 1 \end{aligned}$$

Ambiguous version:

$$E \Rightarrow E + E \mid E * E \mid (E) \mid a \mid b \mid c \mid 0 \mid 1$$

How will this avoid ambiguity?



Inherently Ambiguous CFLs

- However, for some languages, it may not be possible to remove ambiguity
- A CFL is said to be *inherently ambiguous* if every CFG that describes it is ambiguous

Example:

- $L = \{ a^n b^n c^m d^m \mid n, m \geq 1 \} \cup \{ a^n b^m c^m d^n \mid n, m \geq 1 \}$
- L is inherently ambiguous
- Why?

Input string: $a^n b^n c^n d^n$



Summary

- Context-free grammars
- Context-free languages
- Productions, derivations, recursive inference, parse trees
- Left-most & right-most derivations
- Ambiguous grammars
- Removing ambiguity
- CFL/CFG applications
 - parsers, markup languages